**TO:** Colorado State Board of Health

**FROM:** George Dikeou, Chairman Health Care Credentials Application Review Committee

**DATE:** May 18, 2016

**RE:** Request for a Rulemaking Hearing

Proposed Amendments to the Colorado Health Care Professional Credentials

Application, 6 CCR 1014-4

The Application Review Committee (Committee) is mandated by statute to meet at least once a calendar year to receive input from the public as well as consider changes to the Professional Credentials Application (Application). The committee met on November 4, 2015 and recommended the noted changes to the Board of Health and requests that these adopted changes be made to the application.

Al Schwindt and I are happy to address any questions or concerns you may have about the Application and the proposed Amendments. Thank you for your consideration and cooperation.

### STATEMENT OF BASIS AND PURPOSE AND SPECIFIC STATUTORY AUTHORITY

for Amendments to Colorado Health Care Professional Credentials Application 6 CCR 1014-4 May 18, 2016

#### Basis and Purpose.

Specific Statutory Authority.

The Health Care Credentials Application Review Committee, per § 25-1-108.7, C.R.S, recommends the Colorado Health Care Professional Credentials Application be amended as indicated on the revised document to clarify possible confusing language and address any inconsistencies.

The vast majority of the changes relate to formatting, correcting typographical errors and clarifying the application form. The substantive changes are:

- Section XII, Question C is being spilt into two questions. The recommendation came from the representative of CAMSS, the Colorado Association of Medical Staff Support and the committee agreed with the question. These are the people who work at the hospitals and health plans doing credentialing. This revision allows for more focused responses for the reasons for a voluntary resignation, termination or surrender of privileges or employment.
- Supplemental B. Questions 1 and 2. These questions were modified after a full Committee discussion with a representative from the Colorado Physicians Health Program (CPHP). CPHP does not "treat" physical or mental problems. It does however "monitor" compliance with recommended treatments. This revision clarifies the role of CPHP in verifying physician ability to practice safely by adding the word "monitoring" and clarifying language so that applicants can more readily answer questions about their ability to practice safely.
- Supplemental B. Question 4. These questions were modified to more accurately determine the T.B. status of an applicant. This question was modified so that a more complete status report of current TB conditions would be received from the applicant. This will allow more reasonable hospital and other credentialing organizations response to the possible risk of T.B. infection to patients.
- Supplemental B. Question 5. This section was added to accurately reflect the current CDPHE requirements related to flu vaccinations for health care workers.

The Review Committee requests a December 15, 2016 effective date. This provides time for the application to be updated and communicate the changes to the community.

# These rules are promulgated pursuant to the following statutes: § 25-1-108.7, C.R.S. SUPPLEMENTAL QUESTIONS Is this rulemaking due to a change in state statute? \_\_\_\_\_\_ Yes, the bill number is \_\_\_\_\_\_; rules are \_\_\_\_\_ authorized \_\_\_\_ required.

Is this rulemaking due to a federal statutory or regulatory change?

		Yes			
	X	No			
Does this 1	rule incorp	orate m	aterials	by reference	?
		Yes			
	X	No			
Does this 1	rule create	or modi	ify fines	or fees?	
		Yes			
	X	No			

#### **REGULATORY ANALYSIS**

for Amendments to
Colorado Health Care Professional Credentials Application
6 CCR 1014-4
May 18, 2016

1. A description of the classes of persons who will be affected by the proposed rule, including classes that will bear the costs of the proposed rule and classes that will benefit from the proposed rule.

Health care professionals who are registered, certified or licensed by the state of Colorado, who are practicing or intend to practice and subject to credentialing are affected and will benefit by the proposed changes. There are no anticipated costs associated with these changes.

2. To the extent practicable, a description of the probable quantitative and qualitative impact of the proposed rule, economic or otherwise, upon affected classes of persons.

All changes are intended to provide clarification of information and data requested on the application and to provide consistent formatting of the document for easier understanding and use.

3. The probable costs to the agency and to any other agency of the implementation and enforcement of the proposed rule and any anticipated effect on state revenues.

None.

4. A comparison of the probable costs and benefits of the proposed rule to the probable costs and benefits of inaction.

The effort required to update the application is minimal. The benefits of the proposed rule will make for a more user friendly and efficient document for credentialing purposes.

5. A determination of whether there are less costly methods or less intrusive methods for achieving the purpose of the proposed rule.

There are no costs. The changes do not make the rule any more or less intrusive.

6. Alternative Rules or Alternatives to Rulemaking Considered and Why Rejected.

Because of how the statute is written, the application is in rule and thus, any changes to the application must occur with rulemaking.

7. To the extent practicable, a quantification of the data used in the analysis; the analysis must take into account both short-term and long-term consequences.

These recommended changes address the feedback received from health care providers, their various credentialing entities, the Colorado Physicians Health Program and health care professionals. Because professional credentialing is important to the careers of each professional being credentialed, clarity of questions asked, clarity of expected and anticipated answers and wide-ranging understanding of the process governs the Committee in making its recommendations to the Board.

#### STAKEHOLDER COMMENTS

for Amendments to Colorado Health Care Professional Credentials Application 6 CCR 1014-4 May 18, 2016

#### The following individuals and/or entities were included in the development of these proposed rules:

The Application Review Committee is comprised of individuals that represent a statewide association or society of physicians, a statewide association or society of Colorado hospitals, a statewide association or society of health plans, a professional liability insurance carrier that provides professional liability insurance to health care professionals in Colorado, a statewide association or society of Colorado health care medical staff service specialists, and advanced practice nurses. The Committee making these recommendations to you is representative of most, if not all, of the stakeholders who have an interest in the process of credentialing heath care providers in Colorado. The committee is acting on feedback from credentialing entities, applicants and the Colorado Physicians Health Program.

### The following individuals and/or entities were notified that this rule-making was proposed for consideration by the Board of Health:

Including committee members who represent the Colorado Medical Society, the Colorado Hospital Association, the Colorado Association of Health Plans, COPIC Insurance Company, the Colorado Association of Medical Staff Services and Advanced Practice Nurses, also represented and informed of the rule-making are: Jane Berg of JBConsulting; Holly Davis of Longmont United Hospital; Denise Ross and Tommy Lee of Centura Health Physician Group, Suzette Pulliam of UCHealth; Beth Champlin and Roger Caldwell of Kaiser Permanente; Phyllis Murray of SCL Health; Kristi Davis of St. Joseph Hospital; Renee Holmes of University Physicians, Inc., and; Ron Urongse of CAQH. While these are typical attendees at the meeting, notice is sent to various entities and persons who typically attend and have participated in the past or have expressed an interest in the process.

Summarize Major Factual and Policy Issues Encountered and the Stakeholder Feedback Received. If there is a lack of consensus regarding the proposed rule, please also identify the Department's efforts to address stakeholder feedback or why the Department was unable to accommodate the request.

These changes are proposed by the review committee. In the past the committee has asked the Disease Control Environmental Epidemiology Division to review the TB language and we will be doing that again. To date, no major factual or policy issues were encountered. The changes streamline the application and protect the privacy of applicants.

Please identify health equity and environmental justice (HEEJ) impacts. Does this proposal impact Coloradoans equally or equitably? Does this proposal provide an opportunity to advance HEEJ? Are there other factors that influenced these rules?

There are no health equity or environmental justice concerns. The application treats all healthcare professionals similarly and the benefit of uniform credentialing impacts Coloradoans similarly.

Proposed revisions are highlighted in yellow; editorial comments appear in red and are used to identify the nature of the change. The highlighting and editorial comments are not part of the rule. The noted revisions have affected the formatting of this document to cause irregularities and add unintended blank spaces and pages. Upon approval, all formatting changes will be corrected to create a concise and easy to read and use application.

#### DEPARTMENT OF PUBLIC HEALTH AND ENVIRONMENT

Adopted by the State Board of Health 00/00/16, effective 12/15/16

#### State Board of Health 6 CCR 1014-4

#### COLORADO HEALTH CARE PROFESSIONAL CREDENTIALS APPLICATION

This is the Colorado healthcare professional credentials application. The Colorado legislature has mandated that all health care entities and all health care plans engaged in the collection of information to be used in the process of credentialing of health care professionals use this form (C.R.S. § 25-1-108.7).

This uniform application has been designed to allow each credentialing entity to receive from you core credentialing information needed in common by all of them, without duplication.

THIS UNIFORM APPLICATION HAS BEEN DESIGNED TO ALLOW EACH PRACTITIONER TO COMPLETE A <u>SINGLE FORM</u> WITH CORE INFORMATION FOR SUBMISSION TO EACH CREDENTIALING ENTITY TO WHICH THE PRACTITIONER IS APPLYING. Change to All CAPS. This application need not be used for case specific temporary privileges.

Each credentialing entity may require additional, non – duplicative credentials information, if it is deemed by them to be essential to the completion of their credentialing process.

A healthcare professional by law, means any physician, dentist, dental hygienist, chiropractor, podiatrist, psychologist, advanced practice nurse, optometrist, physician assistant, licensed clinical social worker, child health associate, marriage and family therapist, or any other health care professional who is registered, certified or licensed by the state of Colorado, who practices, or intends to practice, in Colorado, and who is subject to credentialing.

Those credentialing entities that are required to use this uniform application are:

- 1) A health care facility or other health care organization licensed or certified to provide medical or health services in Colorado;
- 2) A health care professional partnership, corporation, limited liability company, professional services corporation or group practice;
- 3) An independent practice association or physician-hospital organization;
- 4) A professional liability insurance carrier; or

5) An insurance company, health maintenance organization, or other entity that contracts for the provision of health benefits.

No State of Colorado licensing or certification board is required to use this uniform application.

The reason Colorado has mandated the use of this uniform application is to reduce health care costs and duplication.

#### COLORADO HEALTH CARE PROFESSIONAL CREDENTIALS APPLICATION

This application form should be used for both initial credentialing and recredentialing purposes. PRIOR TO COMPLETING THIS APPLICATION FORM, PLEASE READ AND OBSERVE THE FOLLOWING:

#### **GENERAL INSTRUCTIONS**

- 1. Please type or print your responses legibly.
- 2. Please note that modification to the wording or format of this Application will invalidate it. Use of any form of correctional fluid or tape is not acceptable.
- 3. All information requested must be FULLY and TRUTHFULLY provided.
- 4. Any changes to your responses must be lined through, initialed and dated. Use of any form of correctional fluid or tape is not acceptable.
- 5. If an entire section does not apply to you, then please check the box provided at the top of that section to indicate that the section does not apply to you.
- 6. If a particular question does not apply to you, then write "N/A" in the answer blank. If there are multiple, repetitive answer blanks in a particular section (as, for example, in the section entitled "Residencies and Fellowships"), it is not necessary to mark "N/A" in each unneeded answer blank.
- 7. Unless *specifically permitted* by a particular question, please understand that a reference to "See CV" for an answer is not appropriate.
- 8. If you need more space to answer a question completely, please attach additional paper. Include the section and page number of the question being answered as well as your name (printed), signature, and date on each additional sheet. Attach all additional sheets to this application.
- 9. After the Application has been completed in its entirety but *before* you sign and date it, MAKE A COPY OF THE APPLICATION TO RETAIN IN YOUR FILES AND/OR COMPUTER FOR FUTURE USEAll CAPS. In so doing, at the time of a submission to another Healthcare Entity all Credentialing Entities as identified on Page 1, all you will need to do is to check to ensure that all the information remains complete, current and accurate before signing and forwarding the Application as needed.
- 10. Any gaps of time greater than thirty (30) days from completion of health care professional school to the present date must be accounted for before your Application will be considered complete.
- 11. Please sign and date the Application prior to mailing.
- 12. Please sign and date Schedule A.
- 13. <u>Mail the Application, Schedule A, any attached sheets</u> prepared in order to answer any question(s) completely as well <u>as a copy of all applicable enclosures listed on pages 3 and 26 to the Healthcare Entity to which you are submitting this application.</u>
- 14. Each Entity and its representatives, employees, and agent(s) acknowledge that the information obtained relating to the application process will be held confidential to the extent permitted by law and that they will conform to both HIPAA, ADA and other applicable laws and regulations.
- 15. All signatures *must be* original or electronic equivalent. Stamp signatures are not acceptable.

#### **GENERAL INSTRUCTION – continued**

## If requested by your credentialing entity for purposes of credentialing or recredentialing, please include a current copy of the following documents:

- A. State Professional License(s).
- B. Federal Narcotics License (DEA Registration).
- C. All applicants must submit a resume or curriculum vitae, whichever is appropriate, with complete professional history in chronological order (month and year).
- D. Diplomas and/or certificates of completion (e.g., medical school, internship, residency, fellowship, nursing, dental or other healthcare professional school).
- E. Diplomat of National Board of Medical Examiners or Educational Commission for Foreign Medical Graduates (ECFMG) Certificate (if applicable).
- F. Specialty/Subspecialty Board Certification or letter from Board(s) stating your status (if applicable).
- G. Certificate of Insurance.
- H. Military Discharge Record (Form DD-214) (if applicable).
- I. Certificates for Basic Life Support (BLS), Advanced Cardiac Life Support (ACLS), Advanced Trauma Life Support (ATLS), Pediatric Advanced Life Support (PALS) and Neonatal Resuscitation Program (NRP).
- J. CME transcripts/certificates

#### COLORADO HEALTH CARE PROFESSIONAL CREDENTIALS APPLICATION FORM

I. Identifying Information Please provide your full legal name.					
A. Last Name(include suffix, Jr., Sr., III):	First:	M	iddle:	Title:	
		_	<u> </u>	<u> </u>	
B. Other name used (e.g., maiden nam	e, nickname)?	☐Yes ☐ No			
Name:	Dates	used (mm/dd/yyyy): F	rom:	To:	
Name:	Dates	used (mm/dd/yyyy): F	rom:	To:	
Name:	Dates	used (mm/dd/yyyy): F	rom:	To:	
C. Home Address:					
City:		State:	Z	ip:	
D. Home Telephone Number: Cell	Phone:	Email Address:			
<del></del>	_				
E. Social Security Number:	Place of birth:		National Provide	er Identifier <mark>#Number</mark> :	

II. Current Practice Setting(s) Use additional copies of this Part II to list any additional practice sites					
A. Primary Practice Location Name of Clinical Practice:  Clinical Practice Street Address:		Type of Practi ☐Solo ☐Group/Sing	ce Setting:		p/Multi-Specialty ital Based
City:		Start Date at I County:	Location (mm//yy Sta	): ite:	Zip:
Office Telephone Number:	Office Fax Nu	mber:	Patient Appoin	ntment Te	lephone Number:
Mailing Address (if different from a	bove):				
City:		St:		Zip:	_
Office Manager/Administrative Con Office Manager's Telephone Number Office Manager's Fax Number: Email Address:	er:	Teleph Fax Ni	ntialing Contact none Number: umber: Address:		
Answering Service Number:		Page	r Number:		
Office Email Address:		Provid	<mark>er</mark> Practice Web	osite:	_
Federal Tax ID Number for this Prac	etice Address:				
Name Affiliated with Tax ID Number	er:				
Practice National Provider Identifier  Applicant's Medicare Provider #Nur		_ <mark>Applicant's</mark> C	olorado Medica	aid Provid	er <mark>#Number</mark> :
Office Hours (enter time as HH:mmHour	::Minute and indicat	e am or pm for eac	ch):		
Mondayam pm to	am pm	Thursday	am pm to	O aı	n pm
Tuesday am pm to	am pm	Friday	am pm to	O an	n pm
Wednesday am pm to	am pm	Saturday	am pm to	O aı	n pm
		Sunday	am pm to	an	ı pm

*		
Languages:  Please list all languages other than English (includin	ng sign lang	guage and type) available in this office.
Billing Address – <i>if different from your primary prac</i>	tice site ad	dress:
City:	St:	Zip:
B. Other Practice Location Name of Clinical Practice:	<u>Ty</u> p	e of Practice Setting: Group/Multi-Specialty Solo Hospital Based
Clinical Practice Street Address:		Group/Single Specialty Other
City:	Start Date County:	e at Location (mm/yy): Zip:
Office Telephone Number: Office Fax Nu	ımber:	Patient Appointment Telephone Number:
Mailing Address (if different from above):		
City:	St:	Zip:
Name of Office Manager/Administrative Contact: Office Manager's Telephone Number: Office Manager's Fax Number:		
Answering Service Number: Office Email Address:		Pager Number:
Federal Tax ID Number for this Practice Address:		
Name Affiliated with Tax ID Number:		
Practice National Provider Identifier #Number:		
Medicare Provider #Number: Colora	ado Medica	uid Provider <mark>#Number</mark> :
Office Hours (enter time as HH:mmHour:Minute and indica	ate am or pm	for each):
Mondayam pm toam pm	Thursday	am pm to am pm
Tuesday am pm to am pm	Friday	am pm to am pm
Wednesday am pm to am pm	Saturday	am pm to am pm
	Sunday	am pm to am pm

Languages: Please list all languages other than English (including sign language & type) available in this office.				
Billing Address – if different from your primary practice site of	address:			
City:	St: Zip:			
III. Call Coverage Please list all persons with whom you have	made arrangement for call coverage.			
☐Not Applicable If not applicable, please explain why:				
Name/Address:	Specialty:			
	<u> </u>			
IV. Licenses/Registrations/Certificates List all state health ca advanced practice registry as well as other relevant numbers, in				
Practice Type-MD, DO, RN, APN etc:	Specialty:			
List all sub specialties or areas of interest/emphasis:				
Type of License, Certificate or Registration:  Number: State/Institution: Expiration Date (mm/yy): Year Obtained:	Active Inactive/Expired Pending Year Relinquished:			
Type of License, Certificate or Registration:  Number: State/Institution: Expiration Date (mm/yy): Year Obtained:	Active Inactive/Expired Pending Year Relinquished:			
Type of License, Certificate or Registration:  Number:  State/Institution:  Expiration Date (mm/yy): Year Obtained:	Active Inactive/Expired Pending Year Relinquished:			
DEA Registration Number: Expiration Date (mm/yy): _				
Prescriptive Authority #Number:(PAAPN, NP, CNM, CNS, C	CRNA only) Date Issued(mm/yy):			

	V. Education Since High School. Check medical/professional) for each school att		(i.e., undergraduate, graduate,
A.	Foreign Medical Graduate		☐Not Applicable
	Educational Commission for Foreign Medic (ECFMG) Number:	ical Graduates	Date Issued (mm/yy):
	Other: Fifth Pathway Yes No If Yes, plo	ease provide name and	address of institution:
	Date of Attendance: From (mm/dd/yyyy):		To:
В.	<b>Education</b> List in chronological order beglist additional education other the		- v
	Undergraduate	Graduate	Medical /Professional
	Complete School Name:		
	Degrees/Certification Received:		Graduation Date(mm/yy):
	Course of Study or Major:		
	Address:		
	Email:	Telephone #:	Fax <mark>#Number</mark> :
	Dates Attended: From (mm/yy):Add If no, please attach Explanation Form(s).	To:	Program Completed? Yes No
	Undergraduate	Graduate	Medical /Professional
	Complete School Name:		
	Degrees/Certification Received:		Graduation Date(mm/yy):
	Course of Study or Major:		
	Address:		
	Email:	Telephone #:	Fax <mark>#Number</mark> :
	Dates Attended: From (mm/yy):	To:	Program Completed? Yes No
	Add If no, please attach Explanation Form(s).		
	Undergraduate	Graduate	Medical /Professional
	Complete School Name:		
	Degrees/Certification Received:		Graduation Date(mm/yy):
	Course of Study or Major:		
	Address:		
	Email:	Telephone #:	Fax <mark>#Number</mark> :
	Dates Attended: From (mm/yy):	To:	Program Completed? Yes No

C. Post Graduate Training Check the approxy type of training. Use additional copies of this P Applicable	•	1 1 2
☐ Internship ☐ Residency	√ Fellowshi	ip
Institution Name:		
Address:		City:
State/Country:		Zip:
Dates Attended (mm/yy): From:	To:	Program Completed? Yes No
Add If no, please attach Explanation Form(s).		
Specialty:		Date of Completion (mm/yy):
Name of Program Director:		Fax <mark>#Number</mark> :
Telephone Number:	Email:	
	_	
Internship Residency	Fellowshi	ip
Institution Name:		
Address:		City:
State/Country:		Zip:
Dates Attended (mm/yy): From:	To:	Program Completed? Yes No
Add If no, please attach Explanation Form(s).		
Specialty:		Date of Completion (mm/yy):
Name of Program Director:		Fax <mark>#Number</mark> :
Telephone Number:	Email:	
☐ Internship ☐ Residency	Fellowshi	ip
Institution Name:		_
Address:		City:
State/Country:		Zip:
Dates Attended (mm/yy): From:	To:	Program Completed? Yes No
Add If no, please attach Explanation Form(s).		
Specialty:		Date of Completion (mm/yy):
Name of Program Director:		Fax <mark>#Number</mark> :
Telephone Number:	Email:	

<b>D. Other Clinical Training Programs</b> List those that are pertinent to your required privileges/practice (For example, preceptorship, procedural certificate course, etc.). Use additional copies of this part V. D to list additional clinical training.   Not Applicable			
Institution Name:			
Address:	City:		
State/Country:	Zip:		
Dates Attended (mm/yy): From:	To: Date of Completion(mm/yy):		
Specialty:	Certificate Awarded:		
Did you complete the program?  Yes No	If no, please attach Explanation Form(s).		
Name of Program Director:	Fax #Number:		
Telephone Number: Email:			
·			
Institution Name:			
Address:	City:		
State/Country:	Zip:		
· <del></del>	To: Date of Completion(mm/yy):		
Specialty:	Certificate Awarded:		
Did you complete the program? Yes No	If no, please attach Explanation Form(s).		
Name of Program Director:	Fax #Number:		
Telephone Number: Email:			
Propriorie i tumber.			
List Certifications (provide copies – see page 3)			
BLS (Basic Life Support)	Expiration Date (mm/yy):		
ACLS (Advanced Cardiac Life Support)	Expiration Date (mm/yy):		
ATLS (Advanced Trauma Life Support)	Expiration Date (mm/yy):		
PALS (Pediatric Advanced Life Support)	Expiration Date (mm/yy):		
NRP (Neonatal Resuscitation Program)	Expiration Date (mm/yy):		
Other	Expiration Date (mm/yy):		
	Expiration Date (mm/yy):		
	Expiration Date (mm/yy):		
	Expiration Date (mm/yy):		

E. Faculty Positions List all academ and the dates of those appointment positions or CME.  Not Applic	s. Use additional copies of cable	•	F to list additional faculty
Institution Name:		Academic	Rank/Title:
Address:		City:	
State/Country:			Zip:
Dates Attended(mm/yy): From:	To:	Specialty:	_
Contact:	Email:	<del>-</del>	
Address:			
Telephone Number:	Fax Numb	oer:	
Institution Name: Address:			Rank/Title:
State/Country:			Zip:
Dates Attended(mm/yy): From:	To:	Specialty:	-
Contact:	Email:	<del>-</del>	
Address:			
Telephone Number:	Fax Numb	oer:	
F. Continuing Medical Education Sin the last 36 months.		t CME or CEU cro	· · · · · · · · · · · · · · · · · · ·

#### VI. Board and Professional Certification/Recertification List all current and past Board certifications.

<u>Physicians</u>: Please enter all Board Certifications and answer the questions below regarding such Board Certifications

<u>Allied Health Professionals</u>: Please enter all Professional and National Certifications and answer the questions below regarding such Certifications

	Are you Board certified?
	Name of Issuing Board Specialty Dt Certified Dt Recertified Expiration
_	
_	
F	Please answer the following questions. Attach explanation form(s) if necessary.
A.	1. If you are not currently certified, have you applied for the certification examination?
	2. If you have not applied for the certification examination, do you intend to apply for the certification examination? If yes, when?
	3. If you have applied for the certification examination, have you been accepted to take the certification examination?
	4. If you have been accepted, when do you intend to take the examination?  Date:
	5. If you do not intend to apply for the certification examination, please attach reason on Explanation Form(s).
	6. If you are not currently certified, please provide the expiration date of admissibility. Date:
В.	Have you ever had certification denied, revoked, limited, restricted, suspended, involuntarily relinquished, subject to stipulated or probationary conditions, received a letter of reprimand from a specialty Board, or is any such action currently pending or under review? If yes, please attach Explanation Form(s).
C.	Have you ever voluntarily relinquished a certification, including any voluntary non-renewal of a time limited certification? If yes, please attach an Explanation Form(s).
D.	Have you ever failed a certification exam?  If yes, explain:

#### VII. Current Hospital and Other Facility Affiliations

Please list in <u>reverse</u> chronological order the past ten years of all hospital and other facility affiliations beginning with all hospital applications in process: current hospital affiliation(s) second, previous hospital affiliations third and other current facility affiliations (which includes surgery centers, dialysis centers, nursing homes and other health care related facilities) fourth. <u>Do not list residencies, internships, fellowships, or employment</u>. A resume is not sufficient for a complete answer to these questions. Submission date only required if pending.

Facility Name: Expand Size of all Response Lines/Sp	paces in this Section VII
Department:	Staff Status: (e.g., active, courtesy, provisional, pending)
Appointment Date: From (mm/yy):	To (mm/yy):
Address:	
Contact:	Phone #Number:
Email:	Fax # <mark>Number</mark> :
Facility Name:	
Department:	Staff Status:
Appointment Date: From (mm/yy):	(e.g., active, courtesy, provisional, pending)
Address:	To (mm/yy):
Contact:	Phone # <mark>Number</mark> :
Email:	Fax #Number:
Facility Name:	
Department:	Staff Status:
Appointment Date: From (mm/yy):	To (mm/yy):
Address:	
Contact:	Phone # <mark>Number</mark> :
Email:	Fax # <mark>Number</mark> :
Facility Name:	
Department:	Staff Status: (e.g., active, courtesy, provisional, pending)
Appointment Date: From (mm/yy):	To (mm/yy):
Address:	
Contact:	Phone # <mark>Number</mark> :
Email:	Fax #Number:

VII. Current Hospital and Other Facility Affiliations - continued Facility Name: \_\_\_\_\_ Department: \_\_\_\_ Staff Status: (e.g., active, courtesy, provisional, pending) Appointment Date: From (mm/yy): \_\_\_\_\_ To (mm/yy): \_\_\_\_\_ Address: \_\_\_\_\_ Contact: Phone #Number: Fax #Number: \_\_\_\_ Email: \_\_\_\_ Facility Name: Department: Staff Status: (e.g., active, courtesy, provisional, pending) Appointment Date: From (mm/yy): \_\_\_\_\_ To (mm/yy): \_\_\_\_\_ Address: \_\_\_\_ Contact: Phone #Number: Fax #Number: Facility Name: \_\_\_\_\_ Department: \_\_\_\_ Staff Status: (e.g., active, courtesy, provisional, pending) Appointment Date: From (mm/yy): To (mm/yy): \_\_\_\_\_ Address: \_\_\_\_ Contact: Phone #Number: Email: \_\_\_\_ Fax #Number: VIII. Professional Work History Please list in reverse chronological order all professional work history during the past ten years not listed previously. Include any previous office addresses and any military experience and public health service. Explain below any gaps greater than thirty (30) days. Use additional copies of this part VIII to list additional professional work history. A curriculum vitae is not sufficient for a complete answer to these questions. Not Applicable Name of Practice/Employer: Expand Size of all Response Lines/Spaces in this Section VIII Title/Position held: To (mm/yy): Add Reason for leaving? From (mm/yy): \_\_\_\_\_ Space to answer Add Eligible for rehire? Yes No If No why, Please attach Explanation Form. Address: \_\_\_\_\_ City: State/Country: Zip: \_\_\_\_\_ Contact: Fax #Number: Email: \_\_\_\_ Telephone #Number:

#### $\label{eq:VIII.Professional Work History - continued} \ VIII.\ Professional\ Work\ History - continued$

Name of Prior Practice/Employe	er:		
Title/Position held:			
From (mm/yy):		To (mm/yy):	Add <mark>Reason for leaving</mark> f
Space to answer Add Eligible f	For rehire? Yes	No If No why, Plea	ase attach Explanation Form.
Address:		City: _	
State/Country:			Zip:
Contact:			Fax # <mark>Number</mark> :
Email:			Telephone #Number:
Name of Prior Practice/Employe	er:		
Title/Position held:			
From (mm/yy):		To (mm/yy):	Add Reason for leaving
Space to answer Add Eligible f	For rehire? Yes	•	ase attach Explanation Form.
Address:		City: _	
State/Country:			Zip:
Contact:			Fax #Number:
Email:			Telephone #Number:
IX. Peer References			
Please list three (3) references, through recent Add (last two ye familiar with your professional references be practitioners in yo list at least one physician refere	ars) observations have competence, conduct our <u>same professional</u>	e personal knowled and work. Do not	ge of and are directly include relatives. Prefer
Name of Reference:		Relationship:	
Specialty:	Dat	tes of Association:	Add from mm/yy to m/yy
Address:		City: _	
State/Country:			Zip:
Telephone Number:	Fa	x Number:	
Email:			

#### IX. Peer References - continued

Name of Reference:	Relationsh	ip:
Specialty:	Dates of Association	n: Add <mark>from mm/yy to m/yy</mark>
Address:	Cit	y:
State/Country:		Zip:
Telephone Number: Email:	Fax Number:	<u> </u>
Name of Reference:	Relationsh	ip:
Specialty:	Dates of Association	on: Add <mark>from mm/yy to m/yy</mark>
Address:	Cit	y:
State/Country:		Zip:
Telephone Number: Email:	Fax Number:	_
X. Professional Liability Insurance (y	cours or your supervising agent)	
X. Professional Liability Insurance (y Insurance Carrier / Provider of Profess		
Insurance Carrier / Provider of Profess  Policy Number:	Sional Liability Coverage:  Type of Coverage (check of	
Insurance Carrier / Provider of Profess  Policy Number: Occurrence	Sional Liability Coverage:  Type of Coverage (check of	one): Claims-Made
Insurance Carrier / Provider of Profess  Policy Number: Occurrence  Per claim limit of liability: \$	Type of Coverage (check of Aggregate and Expiration:	mount: \$
Insurance Carrier / Provider of Profess  Policy Number: Occurrence  Per claim limit of liability: \$  Dates (mm/dd/yyyy): Effective:  If you have changed your coverage wire	Type of Coverage (check of Aggregate and Expiration:	mount: \$  Retroactive:  urchase tail and/or nose (prior No
Insurance Carrier / Provider of Profess  Policy Number: Occurrence  Per claim limit of liability: \$  Dates (mm/dd/yyyy): Effective:  If you have changed your coverage wire occurrence/acts) coverage?	Type of Coverage (check of Aggregate and Expiration:	mount: \$  Retroactive:  urchase tail and/or nose (prior No
Insurance Carrier / Provider of Profess  Policy Number: Occurrence  Per claim limit of liability: \$  Dates (mm/dd/yyyy): Effective:  If you have changed your coverage wire occurrence/acts) coverage?  If yes, please provide details/supporting  Name of Local Contact :	Type of Coverage (check of Aggregate and Expiration:	mount: \$  Retroactive:  urchase tail and/or nose (prior No

2 2 0	liability carriers within the past ten (10) years including any carriers in the ten year period. Use additional copies of this Part X to list		
Insurance Carrier / Provider of Professi			
<del></del>			
Policy Number:	Type of Coverage (check one):  Claims-Made		
Occurrence			
Per claim limit of liability: \$	Aggregate amount: \$		
Dates (mm/dd/yyyy): Effective:	Expiration: Retroactive:		
If you have changed your coverage woccurrence/acts) coverage?	vithin the last ten years, did you purchase tail and/or nose (prior Yes No		
If yes, please provide details/supporti	ing data. If no, please explain why not.		
Name of Local Contact :(e.g., insurance agent or broker)			
Mailing Address:			
Telephone Number:	Ext:		
Professional Insurance History: Please answer each of the following questions in full. If the answer to any question is "YES", or requires further information, please give a full explanation of the specific details and attach to the Application.			
• •	surance coverage ever been terminated, not renewed, cancelled, ltered by action of the insurance company? Yes Date:		
If yes, please provide date, name	of company(s), and basis for coverage change.		
2. Have you ever been denied covera	age?  Yes Date:  No		
· · · · · · · · · · · · · · · · · · ·	bility insurance carrier excluded any specific procedures from your e: If yes, please identify procedures and provide details. No		
Professional Claims History: If the a explanation and attach to the Applican	unswer to any of these questions is "Yes", please give a full tion.		
1. Have there <i>ever</i> been any professi or arbitration proceeding involving	onal liability (i.e., malpractice) claims, suits, judgments, settlements g you?		
proceedings involving you <i>curren</i> 3. Are you aware of any formal dem	, malpractice) claims, suits, judgments, settlements or arbitration atly pending?		

XI. QUESTIONS FOR HEALTH PLANS ON to a Health Plan.	LY Answer these question	s only if you are applying	
1. Do you wish to be listed in the Health Plan Directory as a primary care practitioner?  Yes No			
2. Do you wish to be listed in the Health Plan Dire	• • —	Yes No	
3. List which specialty:			
4. Please furnish a copy of your W-9 Federal Tax l	Form.		
5. Please list the credentialing contact in your office, if different from the office manager:  Remove			
65. Does this site offer handicapped access for the	following: Building? Parking? Restroom?	☐ Yes ☐ No ☐ Yes ☐ No ☐ Yes ☐ No	
•		<ul> <li>☐ Yes</li> <li>☐ No</li> <li>☐ Yes</li> <li>☐ No</li> <li>☐ Yes</li> <li>☐ No</li> </ul>	
Accessible by public transportation?	Bus? Light rail? Regional train?	<ul> <li>☐ Yes</li> <li>☐ No</li> <li>☐ Yes</li> <li>☐ No</li> <li>☐ Yes</li> <li>☐ No</li> </ul>	

#### **XII.** Attestation Questions

This section to be completed by the Practitioner. Modification to the wording or format of these Attestation Questions will invalidate the Application..

Please answer the following questions "yes" or "no". If your answer to any of the following questions is "yes", please provide details and reasons including dates, as specified in each question, on an Explanation Form and attach to the Application.

For the purpose of the following questions, the term "adverse action" means a voluntary or involuntary termination, loss of, reduction, withdrawal, limitation, restriction, suspension, revocation, denial, surrender, resignation Add, relinquishment Add, reprimand, censure, sanction, subject to probation, placed under special or intensified review, withdrawn or failed to proceed with an application, denied or recommended for denial, any such action pending or in progress, or non-renewal of membership, clinical privileges, academic affiliation or appointment or employment. "Adverse action" also means, with respect to professional licensure registration or certification, any previously successful or currently pending challenges to such licensure, registration or certification including any voluntary or involuntary restriction, suspension, revocation, denial, surrender, non-renewal, admonishment, public or private reprimand, probation, consent order, reduction, withdrawal, limitation, relinquishment, or failure to proceed with an application for such licensure, registration or certification.

A. To your knowledge, have you ever been the subject of an <b>adverse action</b> (or is an investigation or <b>adverse action</b> currently pending) by:			
1. a hospital or other healthcare facility (e.g., surgical center, nursing ho	ome, renal dialysis facilit Yes Date:	· — ·	
2. an education facility or program (e.g., dental or other health care profinternship, etc.)?	essional school, residence Yes Date:		
3. a professional organization or society?	Yes Date:	☐ No	
4. a professional licensing body (in any jurisdiction for any profession)?	Yes Date:	☐ No	
5. a private, federal, or state agency regarding your participation in a third party payment program (Medicare, Medicaid, Health Maintenance Organization (HMO), Preferred Provider Organization (PPO), Preferred Hospital Organization (PHO), Provider-Sponsored Health Care Corporations (PSHCC), network, system, managed care organization, etc.)?   Yes Date: No			
6. a state or federal agency (DEA, etc.) regarding your prescription of co	ontrolled substances?  Yes Date:	□No	
B. To your knowledge, have you ever been the subject of any report(s) to	o a state or federal data	oank or	
licensing or disciplining entity?	Yes Date:	☐ No	

#### XII. Attestation Questions - continued

C.Have you ever voluntarily or involuntarily resigned, terminated or surrendered medical staff privileges or employment from a hospital, group practice or other health care facility or medical staff to avoid disciplinary action or investigation or while under investigation, or is such an investigation pending?			
C.	1. Have you ever voluntarily or involuntarily resigned, terminated or surrendered medical staff privileges or employment from a hospital, group practice or other health care facility or medical staff?  Yes Date: No		
C.	2. If your answer to the above Question is Yes, was it to avoid disciplinary action or investigation or while under investigation, or is such an investigation pending?   Yes No		
D.	Have you ever been suspended, fined, disciplined, investigated, expelled, sanctioned or otherwise restricted or excluded from participating in any private, federal or state health insurance program (for example, Medicare or Medicaid) or are any such proceedings in progress?		
E.	Has any professional review organization under contract with Medicare or Medicaid ever made an adverse quality determination concerning your treatment rendered to any patient or are any such proceedings in progress?		
F.	Have you ever been convicted of, pled guilty to, or pled nolo contendere to any felony or misdemeanor that is reasonably related to your qualifications, competence, functions, or duties as a health care professional or are you currently under indictment or currently have pending against you any such charges?		
G.	Have you ever been convicted of, pled guilty to, or pled nolo contendere to any felony or misdemeanor that alleged fraud, an act of violence, child abuse, or a sexual offense or sexual misconduct or are you currently under indictment or currently have pending against you any such charges?		
Н.	In the last ten years, have you been found liable or responsible for or named in any civil offense that is reasonably related to your qualifications, competence, functions, or duties as a health care professional or that alleged fraud, an act of violence, child abuse, or a sexual offense or sexual misconduct?		
I.	Have you ever been court-martialed for actions related to your duties as a health care professional?  Yes Date:  No		

#### XIII. ATTESTATION AND SIGNATURE

By signing this Application, I certify, agree, understand and acknowledge the following:

- 1. The information in this entire Application, including all subparts and attachments, is complete, current, correct, and not misleading.
- 2. Any misstatements or omissions (whether intentional or unintentional) on this Application may constitute cause for denial of my Application or summary dismissal or termination of my clinical privileges, membership or practitioner participation agreement without right of hearing.
- 3. A photocopy of this Application, including this attestation, the authorization and release of information form and any or all attachments has the same force and effect as the original.
- 4. I have reviewed the information in this Application on the most recent date indicated below and it continues to be true and complete.
- 5. While this Application is being processed, I agree to update the information originally provided should there be any change in the information.
- 6. No action will be taken on this Application until it is complete and all outstanding questions with respect to the Application have been resolved.
- 7. I acknowledge that each Entity has its own criteria for acceptance, and I may be accepted or rejected by each independently. I further acknowledge and understand that my cooperation in obtaining information and my consent to the release of information do not guarantee that any Entity will grant me clinical privileges or contract with me as a provider of services. I understand that my application for Participation with the Entity is not per se an application for employment with the Entity and that acceptance of my application by the Entity may not result in my employment by the Entity.
- 8. I understand and agree that I will notify all credentialing entities to which I have submitted this Uniform Application of any and all changes to the information contained in this Application

This attestation statement and Application must be signed no more than 180 days prior to the credentialing decision date.

Please print your name:	
	Signature
	Date

REMEMBER TO SAVE THE COMPLETED APPLICATION TO YOUR PERSONAL COMPUTER!

#### Schedule A

# COLORADO HEALTH CARE PROFESSIONAL CREDENTIALS APPLICATION <u>AUTHORIZATION AND RELEASE OF INFORMATION FORM</u> <u>Modified Releases Will Not Be Accepted</u>

By submitting this Application, including all subparts and attachments, I acknowledge, understand Add, consent and agree to the following:

- 1. As an applicant for medical staff membership at the designated hospital(s) and/or participation status with the health care related organization(s) (e.g., hospital, medical staff, medical group independent practice association (IPA), health plan, health maintenance organization (HMO), preferred provider organization (PPO), physician hospital organization (PHO), managed care organization network, medical society, professional association, medical school faculty position, other healthcare delivery entity or system, hereinafter referred to as a "Healthcare Entity") indicated on this Application, I have the burden of producing adequate information for proper evaluation of this Application.
- 2. I also understand that I have the continuing responsibilities to resolve any questions, concerns or doubts regarding any and all information in this Application. If I fail to produce this information, then I understand that the Healthcare Entity will not be required to evaluate or act upon this Application. I also agree to provide updated information as may be required or requested by the Healthcare Entity or its authorized representatives or designated agents.
- 3. The Healthcare Entity and its authorized representatives or designated agents will investigate the information in this Application. I consent and agree to such investigation and to the disciplinary reporting and information exchange activities of the Healthcare Entity as a part of the verification and credentialing process.
- 4. I specifically authorize the Healthcare Entity and its authorized representatives and designated agents to obtain and act upon information regarding my competence, qualifications, education, training, professional and clinical ability, character, conduct, ethics, judgment, mental and physical health status, emotional stability, utilization practices, professional licensure of certification, and any other matter related to my qualification or matters addressed in this Application (my "Qualifications")
- 5. I authorize all individuals, institutions, schools, programs, entities, facilities, hospitals, societies, associations, companies, agencies, licensing authorities, boards, plans, organizations, Healthcare Entities or others with which I have been associated as well as all professional liability insures with which I have had or currently have professional liability insurance, who may have information bearing on my Qualifications to consult with the Healthcare Entity and its authorized representatives and designated agents and to report, release, exchange and share information and documents with the Healthcare Entity, for the purpose of evaluating this application and my Qualifications.
- 6. I consent to and authorize the inspection of appropriate records and documents that may be material to an evaluation of this Application and my Qualifications and my ability to carry out the clinical privileges/services/participation I have requested. I authorize each and every individual and organization with custody of such records and documents to permit such inspection and copying as may be necessary for the evaluation of this Application. I also agree to appear for interviews, if required or requested by the Healthcare Entity, in regard to this Application.

- 7. I further consent to and authorize the release by the Healthcare Entity to other Healthcare Entities and interested persons on request of information the Healthcare Entity may have concerning me (including but not limited to peer review information which is provided to another Healthcare Entity for peer review purposes). I hereby release from all liability the Healthcare Entity and its authorized representatives or designated agents from any claim for damages of whatever nature for any release of information made in good faith by the Healthcare Entity or its representatives or agents.
- 8. I release from any liability, to the fullest extent permitted by law, all persons and entities (individuals and organizations) for their acts performed in a reasonable manner in conjunction with investigating and evaluating my Application and Qualifications, and I waive all legal claims of whatever nature against the Healthcare Entity and its representatives and designated agents acting in good faith and without malice in connection with the investigation of this Application and my Qualifications.
- 9. For Healthcare Entity membership and privileges, I acknowledge that I have been informed of or have been given the opportunity to review the medical staff bylaws, rules, regulations and policies of the entity and I hereby agree to abide by them. I agree to conduct my practice in accordance with applicable laws and ethical principles of my profession.
- 10. I acknowledge that any investigations, actions or recommendations of any committee or the governing body of the Healthcare Entity with respect to the evaluation of this Application and any periodic reappraisals or evaluations will be undertaken as a medical review and/or peer review committee and in fulfillment of the Healthcare Entity's obligations under Colorado law to conduct a review of professional practices in the facility, and are therefore entitled to any protections provided by law.
- 11. I have read and understand this Authorization and Release of Information Form. A photocopy of this Authorization and Release of Information Form shall be as effective as the original and shall constitute my written authorization and request to communicate any relevant information and to release any and all supportive documentation regarding this Application. This Authorization and Release shall apply in connection with the evaluation and processing of this Application as well as in connection with any periodic reappraisals and evaluation undertaken. I agree to execute such additional releases as may be required from time to time in connection with such periodic reappraisals and evaluations.
- 12. I understand that I have an opportunity to review the information submitted in support of this application pursuant to each entity's policy regarding review. If during the process of credentialing, an entity receives information that varies substantially from information I have provided, I will be notified of this and will have an opportunity to correct erroneous information. I have the right, upon request, to be informed of the status of my application

#### COLORADO HEALTH CARE PROFESSIONAL CREDENTIALS APPLICATION <u>AUTHORIZATION AND RELEASE OF INFORMATION FORM</u>

Please print your name:	
Signature:	Date:

# CAUTION READ THIS INSTRUCTION CAREFULLY

Complete Supplemental A, page 25, and Supplemental B, page 26 unless instructed otherwise by credentialing entity.

#### Supplemental A

Please answer these questions in full. DO NOT ANSWER THESE QUESTIONS if you are seeking to be employed by the credentialing entity.

1.	1. Citizenship: Are you a citizen of the United States?   Yes No If no, please provide appropriate documentation.			
2.	Date of Birth: MonthDa	ny Year	Gender: Male I	Female
3.	Are you currently engaged in reasonable belief that the use profession. It is not limited to application, rather that it has such conduct. "Illegal use of the Controlled Substances Assupervision by a licensed head Act or other provision of Feed controlled substances and alcoholegation."	of drugs may have and to the day of, or within occurred recently enought of drugs" refers to drugs oct, 21 U.S.C. § 812.22 alth care professional, deral law." The term of	ongoing impact on one's a a matter of days or weeks ugh to indicate the individu s whose possession or distri . It "does not include the us or other uses authorized by	bility to practice your before the date of al is actively engaged in bution is unlawful under se of a drug taken under the Controlled Substances
				☐ Yes ☐ No
4.	Do you use any chemical sub and perform the functions of			ur ability to practice medicine  Yes No
5.	Do you have any reason to b	elieve that you would	pose a risk to the safety or v	well being of your patients?
6.	6. You <u>must provide</u> the following documents <u>unless</u> you are seeking to be employed by the credentialing entity.			
	A. One recent passport size	photograph of yourse	lf or a copy of your current	driver's license.
	B. Permanent Resident Card or Visa Status (if applicable).			
	Please print your name:	Signature		-
		Date		-

#### Supplemental B

Health Status. Please answer each of the following questions in full. DO NOT ANSWER THESE QUESTIONS if you are seeking to be employed by the credentialing entity.

1.	Do you currently have any physical or mental condition(s) that may affect your ability to practice or exercise the clinical privileges or responsibilities typically associated with the specialty and position for which you are submitting this Application? If the answer to this question is "YES", please give full explanation of the specific details on an Explanation Form and attach to the Application.  Yes No			
	(Note: Physical or mental condition(s) include, but are not limited to, current alcohol or drug dependency, current treatment Add or monitoring programs for alcohol or drug dependency, medical limitation of activity, workload, etc., and prescribed medications that may affect your clinical judgment or motor skills.)			
2.	may affect your ability to practice or exercise the clinical privileges or responsibilities typically associated with the specialty and position for which you are submitting this application?  If the answer to this question is "YES", please give a full explanation of the specific details Add, including dates of treatment or monitoring on an Explanation Form and attach to the Application.  Yes No			
Ac	dd (Note: Physical or mental condition(s) include, but are not limited to, current alcohol or drug dependency, current treatment or monitoring programs for alcohol or drug dependency, medical limitation of activity, workload, etc., and prescribed medications that may affect your clinical judgment or motor skills.)			
3.	Are you able to perform all the essential functions of the position for which you are applying, safely and according to accepted standards of performance, with or without reasonable accommodation? <i>If reasonable accommodation is required, please specify such on an attached Explanation Form.</i> Yes   No			
4.	4. Add Please document your current TB status by checking the applicable boxes below:  I have had a TB test within the last 12 monthsAdd-Documentation attached. and the test was negative.  have not experienced new risk factors for TB nor am I experiencing symptoms of active TB since my last TB test.			
	Documentation is attached. If no, please explain. Yes No  I have had a history of previous infection with Mycobacterium Tuberculosis or a positive TB test but I since have had a chest x-ray which was read as normal. I currently have no symptoms of active disease and have not experienced new risk factors for TB in the past year.			
	☐ I currently have active TB disease which is being adequately treated.  Applicable documentation is attached. ☐ Yes ☐ No			
	Other			

Add 5. The Colorado Board of Health requires licensed health care facilities to annually report their health care worker influenza vaccination rate and achieve a vaccination rate of at least 90%. To facilitate compliance with

this rule, if this application is being submitted from November 1 to March 31, proof of vaccination is requested. My flu vaccination status is as follows:				
I have submitted outside the required time frame as indicated above				
I have had a current flu vaccination-Documentation attached				
Medical Exemption – Documentation from Primary Physician required (personal preference and/or religious beliefs are not acceptable reasons for declination per state guidelines).				
Please print your name:				
	Signature	Date		

REMEMBER TO SAVE THE COMPLETED APPLICATION TO YOUR PERSONAL COMPUTER